ANNA-ETS DTI TASK FORCE MEETING MINUTES

Date: 22 June 2021  Time: 11.00 – 12.00 UTC  Location: MS Team Meeting

Chairperson: Denis Dounaev

In attendance:

- Manuel Alonso  SIX Financial
- Sassan Danesh  ETS
- Alan Dean  ETS
- Denis Dounaev (Chair)  ETS
- Stephan Dreyer  ANNA
- Emma Kalliomaki  ANNA
- Vipin Y.S. Mahabirsingh  CDS Mauritius
- Uwe Meyer  WM Daten
- Laura Stanley  LSE
- James Haskell  ETS

Apologies:

Absences:

No Topics:

1 Welcome

The Chair introduced the meeting and went through the agenda.

2 Governance (Slide 3)

- Members approved minutes from the previous meeting.
- Members agreed the following on actions:
  - 2704-001 will be carried to the next meeting
  - 2505-002 extend to end of July, but to get regular updates on the analysis to the TF
  - 2505-004 – closed
  - 0806-001 – extend to next meeting.

3 Examples of ISINs that can specifically be linked to a token rather than asset (Slide 4)

- Members discussed ISINs created for payment tokens and how they can be linked to DTI. It was confirmed that based on current proposal, there will be a single ISIN for the token listed on multiple blockchains, whereas there will be a different DTI per listing (plus functionally fungible token where relevant).
- Members agreed to bring the implementation question on where to link the ISIN (Underlying Asset External Identifier or Digital Token External Identifier) to PAC with the comments from the TF (Action 2206-001).
- Members agreed to also review examples of securitised token and token without functionally fungible group to understand if all three would follow the same implementation (Action 2206-002).

4 Issuance Process Permutations: with DTI (Slide 5)

- Members reviewed the steps in the DTI issuance process against the ISIN.
- Members agreed that the concept of provisional DTI does not exist in ISIN.
- Members discussed “when issued” and “securities to be announced” ISINs and how it relates to DTI lifecycle (including provisional flag). Members agreed that further discussion on these cases should be taken to the PAC (Action 2206-003).
• Members discussed confidential ISINs and how that can be supported in the DTI issuance process. Members agreed to continue discussions in the next meeting on how confidential ISINs and potentially DTIs can be handled in the relevant implementation guides.

• Present the lifecycle of DTI with focus of where the link to the ISIN might happen. (Action 2206-004).

5 AOB

6 New Actions

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<thead>
<tr>
<th>Ref</th>
<th>Action</th>
<th>Owner</th>
<th>Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>2206-001</td>
<td>Agree ISIN mapping in Underlying Asset External Identifier or Digital Token External Identifier</td>
<td>DD</td>
<td>20-Jul</td>
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<tr>
<td>2206-002</td>
<td>Prepare a matrix diagram of 3 different types of asset and how they can be linked to the DTI</td>
<td>DD</td>
<td>20-Jul</td>
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<tr>
<td>2206-003</td>
<td>PAC: Discuss &quot;when issued&quot; and &quot;securities to be announced&quot; ISINs and how it relates to DTI lifecycle</td>
<td>DD</td>
<td>Aug</td>
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<tr>
<td>2206-004</td>
<td>Present DTI lifecycle to TF</td>
<td>DD</td>
<td>20-Jul</td>
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